

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/528,556
Confirmation Number	6382
Filing Date	March 21, 2005
First Named Inventor	Yasuyuki SAKAGUCHI
Art Unit	1722
Examiner Name	Seyed Masoud MALEKZADEH
Attorney Docket Number	Q72116

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>4</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issn number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
Smm		TAKASHI, et al., "Sublimation growth of SiC single crystalline ingots on faces perpendicular to the (0001) basal plane," <i>J. Crystal Growth</i> 135:61-70 (1994).	

Examiner Signature	<i>S. M. Malekzadeh</i>	Date Considered	07/09/07
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the internet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
Smm		JP	6-316499	A	11-15-1994	SHARP CORP	Yes
Smm		JP	51-8400	B	03-16-1976		Claim 1 & 2

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, report, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>4</sup>

Examiner Signature	<i>S. M. Malik Zadeh</i>	Date Considered	12/14/2006
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		First Named Inventor	Yasuyuki SAKAGUCHI
		Art Unit	Unknown
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		Attorney Docket Number	Q72116

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		Number	Kind Code <sup>3</sup> (if known)		
Smm		US 5,211,801	A	05-18-1993	R. STEIN
		US			
		US			
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		US			
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Smm		HEYDEMANN V D, et al., "GROWTH OF 6H AND 4H SILICON CARBIDE SINGLE CRYSTALS BY THE MODIFIED LELY PROCESS UTILIZING A DUAL-SEED CRYSTAL METHOD", APPLIED PHYSICS LETTERS, AMERICAN INSTITUTE OF PHYSICS, NEW YORK, US, Vol. 69, No. 24, December 9, 1996, pages 3728-3730, XP000644119		
Smm		ROST H-J, et al., " Influence of different growth parameters and related conditions on 6H-SiC crystals grown by the modified Lely method", MATERIALS SCIENCE AND ENGINEERING B, ELSEVIER SEQUOIA, LAUSANNE, CH, Vol. 61-62, July 30, 1999, pages 68-72, XP004363305		
Smm		PATENT ABSTRACTS OF JAPAN, Vol. 2000, No. 12, January 3, 2001 & JP 2000-264793 A, (DENSO CORP), September 26, 2000		
Smm		PATENT ABSTRACTS OF JAPAN, Vol. 2000, No. 23, February 10, 2001 & JP 2001-158695 A, (DENSO CORP, TOYOTA CENTRAL RES & DEV LAB INC), June 12, 2001		

Examiner Signature	<i>S. M. Malik</i>	Date Considered	07/09/07
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